

Introduction to Tensor Ranks and Tensor Invariants

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University of Amsterdam, Ruhr-University Bochum

Tensor Ranks and Tensor Invariants Seminar — April 11th 2024

Outline

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What is a tensor?

- Outer product

- Tensor basis

- Restriction

Outline

What is a tensor?

Outer product

Tensor basis

Restriction

→

Tensors in the wild

Matrix multiplication

Quantum entanglement

Combinatorics

Outline

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Outer product
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Tensors in the wild

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Group actions on tensors

Diagonal action
Permutation action
(Anti)symmetric tensors

Tensors as multidimensional arrays

Tensors as multidimensional arrays

Starting point — Matrices

Tensors as multidimensional arrays

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Let \mathbb{F} be a field.

Tensors as multidimensional arrays

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Tensors as multidimensional arrays

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for vectors $v_i \in \mathbb{F}^n$, $w_i \in \mathbb{F}^m$.

Tensors as multidimensional arrays

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for vectors $v_i \in \mathbb{F}^n$, $w_i \in \mathbb{F}^m$. *Examples:* $M = \sum_{i=1}^n \sum_{j=1}^m M_{i,j} e_i e_j^{\top}$

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Tensors as multidimensional arrays

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Tensors as multidimensional arrays

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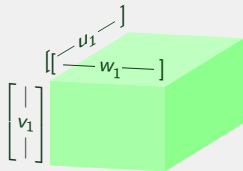
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First examples — The W and diagonal tensors

Tensors as multidimensional arrays

$$T = \sum_{i=1}^r v_i \otimes w_i \otimes u_i = \begin{bmatrix} | \\ | \\ v_1 \\ | \\ | \end{bmatrix} \begin{array}{c} \diagup u_1 \\ \text{---} w_1 \text{---} \\ \diagdown \end{array} + \dots + \begin{bmatrix} | \\ | \\ v_r \\ | \\ | \end{bmatrix} \begin{array}{c} \diagup u_r \\ \text{---} w_r \text{---} \\ \diagdown \end{array}$$

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$$W := e_1 \otimes e_1 \otimes e_2 + e_1 \otimes e_2 \otimes e_1 + e_2 \otimes e_1 \otimes e_1$$

First examples — The W and diagonal tensors

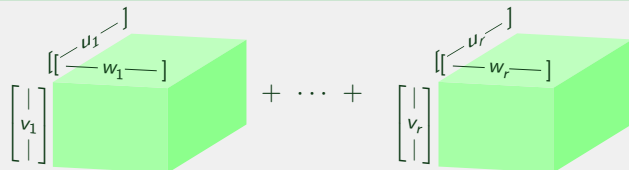
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$$\begin{aligned} W &:= e_1 \otimes e_1 \otimes e_2 + e_1 \otimes e_2 \otimes e_1 + e_2 \otimes e_1 \otimes e_1 \\ &= \begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 0 \\ 1 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 0 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \end{bmatrix} \end{aligned}$$

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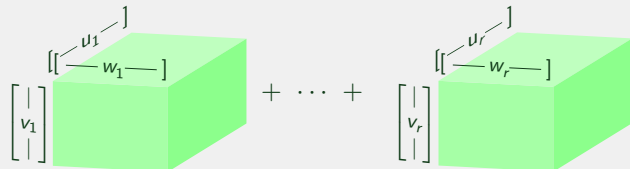
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$$T = \sum_{i=1}^r v_i \otimes w_i \otimes u_i = \begin{bmatrix} | \\ | \\ | \end{bmatrix} \begin{bmatrix} \text{---} w_1 \text{---} \end{bmatrix} \begin{bmatrix} \text{---} u_1 \text{---} \end{bmatrix} + \dots + \begin{bmatrix} | \\ | \\ | \end{bmatrix} \begin{bmatrix} \text{---} w_r \text{---} \end{bmatrix} \begin{bmatrix} \text{---} u_r \text{---} \end{bmatrix}$$


$$\begin{aligned} W &:= e_1 \otimes e_1 \otimes e_2 + e_1 \otimes e_2 \otimes e_1 + e_2 \otimes e_1 \otimes e_1 \\ &= \begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 0 \\ 1 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 0 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \end{bmatrix} \otimes \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\ &\quad \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \end{aligned}$$

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$$T = \sum_{i=1}^r v_i \otimes w_i \otimes u_i = \begin{bmatrix} | \\ | \\ | \end{bmatrix} \begin{bmatrix} \text{---} w_1 \text{---} \end{bmatrix} \begin{bmatrix} \text{---} u_1 \text{---} \end{bmatrix} + \dots + \begin{bmatrix} | \\ | \\ | \end{bmatrix} \begin{bmatrix} \text{---} w_r \text{---} \end{bmatrix} \begin{bmatrix} \text{---} u_r \text{---} \end{bmatrix}$$


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Tensors as multidimensional arrays

$$T = \sum_{i=1}^r v_i \otimes w_i \otimes u_i = \begin{bmatrix} | \\ | \\ | \end{bmatrix} \begin{array}{c} \swarrow u_1 \\ \left[\begin{array}{c} \leftarrow w_1 \rightarrow \end{array} \right] \\ \searrow \end{array} \end{array} + \dots + \begin{bmatrix} | \\ | \\ | \end{bmatrix} \begin{array}{c} \swarrow u_r \\ \left[\begin{array}{c} \leftarrow w_r \rightarrow \end{array} \right] \\ \searrow \end{array}$$

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$$T = \sum_{i=1}^r v_i \otimes w_i \otimes u_i = \begin{bmatrix} | \\ | \\ | \end{bmatrix} \begin{array}{c} \nearrow u_1 \\ \leftarrow w_1 \end{array} \text{cube} + \dots + \begin{bmatrix} | \\ | \\ | \end{bmatrix} \begin{array}{c} \nearrow u_r \\ \leftarrow w_r \end{array} \text{cube}$$

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Abstract tensors

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Definition — Abstract 3-tensor space (*Straightforward to generalize to k -tensors*)

We define a **tensor vector space** $V \otimes W \otimes U$ as the linear span of the (abstract) elements

$$\{v_i \otimes w_j \otimes u_k\}_{i,j,k}$$

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- **Multilinearity II:** $(\alpha v) \otimes w \otimes u = \alpha(v \otimes w \otimes u)$ for all $\alpha \in \mathbb{F}$.

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- **Multilinearity II:** $(\alpha v) \otimes w \otimes u = \alpha(v \otimes w \otimes u)$ for all $\alpha \in \mathbb{F}$.

and similarly for the other components.

Abstract tensors

Let V, W, U be finite dimensional vector spaces with respective bases $\{v_i\}_i, \{w_j\}_j, \{u_k\}_k$.

Definition — Abstract 3-tensor space (*Straightforward to generalize to k -tensors*)

We define a **tensor vector space** $V \otimes W \otimes U$ as the linear span of the (abstract) elements

$$\{v_i \otimes w_j \otimes u_k\}_{i,j,k}$$

together with a map $V \times W \times U: (v, w, u) \mapsto v \otimes w \otimes u$ that is **multilinear**:

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It is easy to check the **outer product** satisfies this!

Kronecker product

You could also define:

Another example — Kronecker product

Given column vectors $v \in V$, $w \in W$. Define their **Kronecker product** by

$$v \boxtimes w = \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \end{bmatrix} \boxtimes w := \begin{bmatrix} a_1 w \\ \text{—} \\ a_2 w \\ \text{—} \\ \vdots \\ \text{—} \\ a_n w \end{bmatrix} \in V \boxtimes W$$

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i.e. replacing each entry of v with a scaled copy of w , resulting in one very tall vector.

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This also satisfies the abstract definition!

How to transform tensors — Linear operations

Take a 3-tensor $T = \sum_i v_i \otimes w_i \otimes u_i \in V \otimes W \otimes U$. (*note: not basis elements anymore*)

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Remark: Restriction on matrices (2-tensors) is **left-right multiplication**, since

$$(A \otimes B)(v \otimes w) = Av \otimes Bw = Av(Bw)^\top = A(vw^\top)B^\top.$$

Matrix multiplication and Bilinear maps

$$\text{MM}_n: \mathbb{F}^{n \times n} \times \mathbb{F}^{n \times n} \rightarrow \mathbb{F}^{n \times n}$$

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Central question — Matrix multiplication

How many multiplications (between inputs) are needed to do $n \times n$ matrix multiplication?

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Consider **bilinear maps** $V \times W \rightarrow U$, with $\{v_i\}_i$, $\{w_j\}_j$ and $\{u_k\}_k$ bases.

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Proposition — Bilinear map/Tensor equivalence

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- Bilinearity gives $f(v, w) = f\left(\sum_i (v_i^* v) v_i, \sum_j (w_j^* w) w_j\right)$

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Proposition — Bilinear map/Tensor equivalence

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Matrix multiplication and Bilinear maps

$$\text{MM}_n: \mathbb{F}^{n \times n} \times \mathbb{F}^{n \times n} \rightarrow \mathbb{F}^{n \times n}$$

Standard algorithm: $\mathcal{O}(n^3)$. **Best bounds:** $\mathcal{O}(n^\omega)$ with $\omega \in [2, 2.371552]$.

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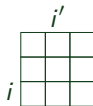
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This is just the beginning of the story. In this seminar we will/might see:

- A session on tensor rank
- Asymptotic aspects
- A session on border rank
- *Student topic:* Schönhage's τ -theorem

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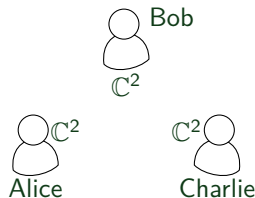
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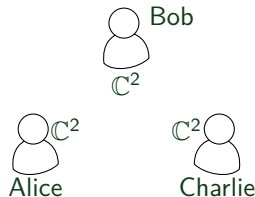
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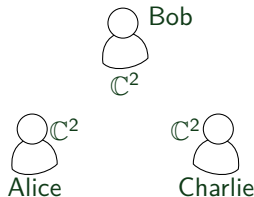
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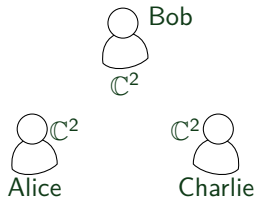
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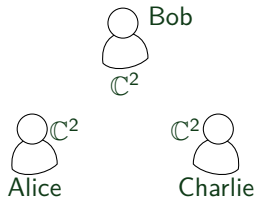
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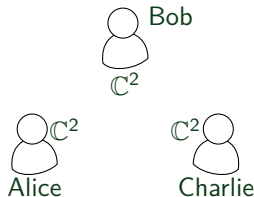
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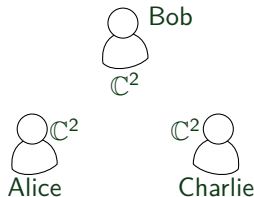
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- We know $\langle 3 \rangle \geq W$. **Claim:** $\langle 3 \rangle \approx W$, as $\langle 3 \rangle \not\leq W$. We use a **restriction monotone**.

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- Thus: $\langle 2 \rangle / \sqrt{2}$ has a genuinely different type of entanglement than $W / \sqrt{3}$.

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Again just the beginning of the story. In this seminar we will/might see:

- Schur–Weyl duality, covariants
- The quantum functionals
- More monotones, (semi-)invariants
- *Student topic*: classification of classes in $\mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \mathbb{C}^2$

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- We can reformulate this result in terms of tensors!

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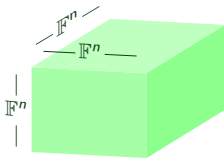
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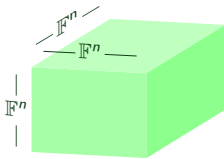
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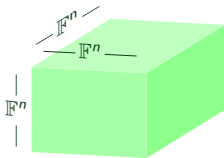
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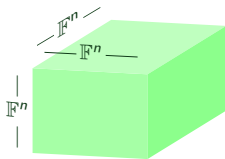
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$$T_{\text{capset},1} := \langle 3 \rangle + \sum_{(i,j,k) \text{ a permutation of } (0,1,2)} e_i \otimes e_j \otimes e_k$$

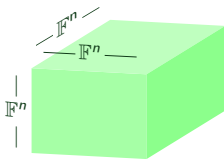
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$$T_{\text{capset},n} := \sum_{\substack{a,b,c \in \mathbb{F}^n \\ (a,b,c) \text{ a 3-term progression}}} e_a \otimes e_b \otimes e_c \in \mathbb{F}^{3^n} \otimes \mathbb{F}^{3^n} \otimes \mathbb{F}^{3^n}$$

Intuition: The cap set tensor **encodes** all 3-term progressions.



$$\begin{aligned} T_{\text{capset},1} &:= \langle 3 \rangle + \sum_{(i,j,k) \text{ a permutation of } (0,1,2)} e_i \otimes e_j \otimes e_k \\ &= \left(\begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \right) \end{aligned}$$

Subrank

Claim: A cap set $\mathcal{A} = \{a_1, \dots, a_m\} \subset \mathbb{F}^n$ gives rise to a **restriction** $T_{\text{capset}, n} \geq \langle m \rangle$.

Subrank

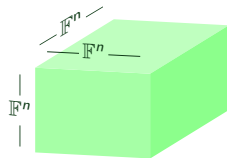
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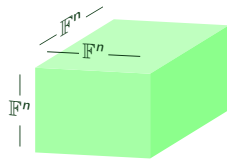


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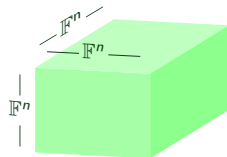
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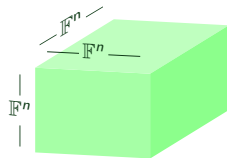


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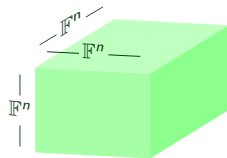
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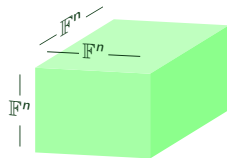
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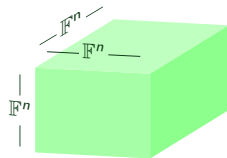
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What is $Q(T_{\text{capset},n})$?

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Once again again the beginning of the story. In this seminar we will/might see:

- A session on subrank
- A general asymptotic formulation
- More upper bounds for subrank
- *Student topic*: slice rank

Rank and subrank

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$$R(T) := \min\{r \mid T \leq \langle r \rangle\}$$

Takeaway — The tensor world

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The tensor world is a lot more complicated & interesting than the matrix world!
We use ranks (rank, subrank, slice rank, ...), monotones, invariants, etc.

Group actions

Group actions

Recall the definition of **invariants**.

Definition — Restriction semi-invariant

We say a function $f: V \otimes W \otimes U \rightarrow \mathbb{R}$ is an **semi-invariant** when $f((A \otimes B \otimes C)T) = 0 \iff f(T) = 0$ for all invertible $(A, B, C) \in \text{GL}(V) \times \text{GL}(W) \times \text{GL}(U)$.

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Most tensors are not symmetric, e.g. $e_1 \otimes e_1 \otimes e_2$, as applying (13) gives $e_2 \otimes e_1 \otimes e_1$.

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- The diagonal action of $\text{GL}(V)$ leaves this subspace **invariant**.

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- $v_1 \wedge \dots \wedge v_n = 0 \iff \{v_1, \dots, v_n\}$ are linearly dependent. (*hint: consider first $v_i = v_j$*)

Slides will be available at the webpage: qi.rub.de/tensors_ss24.

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That's it for today. Thanks!